***Code of Ethics & Integrity***

Adopted by *Name* Research Committee

Authored by:

Compiled by:

Significant Contributions by:

**Acknowledgments**

The original document Code of Ethics and Integrity Guidelines was obtain from the National Congress of American Indians (NCAI) and was created in partnership with Indigenous Wellness Research Institute –University of Washington (IWRI-UW).

**Introduction**

*The project* is a research partnership between the *Tribe/Committee* and the Indigenous Wellness Research Institute at the University of Washington. The partners work collaboratively throughout the research process. The research process includes: developing the research questions; determining research methodology; the collection, analysis, and interpretation of data; and the dissemination of the experiences and results.

Each partner provides ideas and resources that come from their experience, knowledge, expertise, and capabilities. Together, through respect for each other, consultation, and collaboration, the partners significantly strengthen the project and its outcomes. The partners of the project share an understanding that research is a powerful tool for learning about health and wellness, which contributes to the health of the American Indian/Alaska Native community, as well as to the health of other communities of color and vulnerable populations.

This project focuses on community-based participatory research (CBPR), and our partnership embodies the same philosophy and respect that guide us to achieve the goals and objectives of this project. This Code of Ethics and Integrity complements the research protocols and principles established for the project to ensure mutual respect and knowledge sharing, appropriate research approvals and human subject’s protection, and data dissemination activities, including but not limited to publications and conference presentations, and all research activities conducted as a result of this project.

**Purpose**

The purpose of this Code of Ethics and Integrity is to establish a set of principles, obligations, and responsibilities that will guide our partners to achieve the goals and objectives of the partnership throughout all phases of the research process.

This Code of Ethics and Integrity recognizes that the *tribe* have the right of self-determination and, in exercising that right, must be recognized as the exclusive owner of Indigenous traditional and cultural knowledge.

This Code of Ethics and Integrity recognizes the rights of the *tribe* community that face health disparities to engagement, decision-making, and ownership of our indigenous knowledge and culture as well as the knowledge that emerges from our work. Our partnership acknowledges that Indigenous knowledge, cultural and biogenetic resources, and intellectual property rights have been, and continue to be, damaged, destroyed, stolen, and misappropriated, both on and off our tribal lands; the *tribe* tribal members have been the subjects of research for decades, with little benefit returning back to the community from the research. Our partnership strives to prevent continued abuses, protect individual and community traditional knowledge and properties, and ensure our rights to continue to practice traditional lifeways and long-term survival.

**Respective Responsibilities**

Lead Investigators

The Lead Investigators will meet as needed and will have the following duties:

* Establish publications guidelines, data sharing agreements, conference presentation request guidelines, and other related policies to coordinate and ensure that all partner and community interests are protected and represented;
* Examine and comment on all proposals for research including theses, dissertation projects, secondary data analyses, and grant supplements;
* Review and finalize data sharing agreements; and
* Negotiate the terms and conditions for new research projects (e.g., secondary data analyses), IRB materials and protocols, new data sharing agreements, and dissemination venues and products.

*Name* Research Committee

The *Study* *Name* Research Committee, referred to as partners in the Code of Ethics and Integrity, consists of the Principal Investigator, the Facilitator of the *tribal committee*, and the community members of the *Study* *Name* study. The *Study* *Name* Research Committee will meet on an as needed basis and will have the following duties:

* Review all IRB materials and human subjects forms, including consent forms;
* Review all reports, publications, conference presentations, press releases, and other public data results prior to any public dissemination activities;
* Submit recommendations regarding all proposals, IRB-related materials, and data dissemination products for final approval; and
* Ensure the project honors the research approvals processes of participating community-academic partnerships

*Tribe committee Name* - Indigenous Wellness Research Institution Partnerships

The *tribe*-IWRI-UW partnerships participating in the research project will:

* Understand why the study is being carried out;
* Understand objectives, methods, and potential results of the research;
* Understand how the research will benefit their partnership and the field more broadly;
* Understand if and how the research could potentially harm their partnership;
* Understand that participation in the research is voluntary;
* Know that they can refuse to participate in the research and still be entitled to benefit from *tribe* and IWRI-UW related activities;
* Be given the opportunity to be involved in all aspects of the research process;
* Know that the research will respect the Code of Ethics and Integrity;
* Have the ability to ask the researchers questions about the research at any time;
* Contact the overall Principal Investigator, if they have any concerns about an aspect of the research project; and
* Know that they can withdraw from participating in the research at any time and still be entitled to benefit from *tribe* and UW related activities.

**Policy Statement**

Research should support the empowerment of our community and community-academic partnerships as well as promote improved health and reduced health disparities in the *tribe* and other Native communities that face health disparities. Our partnership strives to conduct research free from harmful, intrusive, ill-conceived, or otherwise offensive research, investigative procedures, or misuse or misappropriation of research findings and biological and genetic materials.

Research should be beneficial, community-based, culturally relevant, and consistent with *tribe* health priorities and concerns, and the risks associated with the research should be less significant than the benefits to be gained.

**Mission and Vision**

*Tribal committee*

The mission of the *Tribal committee* is:  *.*

Indigenous Wellness Research Institute (IWRI) – University of Washington

The mission of IWRI is to: *support the inherent rights of Indigenous peoples to achieve full and complete health and wellness by collaborating in decolonizing research and knowledge building and sharing.*

The vision of the IWRI is to: *marshal community, tribal, academic, and governmental resources toward innovative, culture-centered, interdisciplinary, collaborative social and behavioral research and education.*

**Project Values/Principles**

Our overall project values are to promote collaboration among and within our institutions, to produce sound and rigorous science, to be accountable and transparent, to strive toward equalizing power within our collaboration, to ensure the research if of use and value to the tribal communities, and to support our partnership.

Promote Collaboration

* Our voices will influence work to promote our continued collaboration
* Increasing capacity of our partnership within and outside our tribal community
* Research analyses, interpretations, and results must be presented to and discussed by all partners to ensure accuracy and avoid misunderstanding

Good Science

* Mutual commitment to excellence and rigorous science
* Recognize it is not incompatible to do CBPR and to strive for excellence/rigor in science
* Research enterprise will recognize “truth” comes from many places
* Integrity of indigenous knowledge and wisdom in all communities
* Research must ensure confidentiality and anonymity of individuals, organizations, and communities unless these parties choose to be named when the results are reported

Accountability and Transparency

* Honor agreements from past/present/future
* Honor tribal community codes of conduct
* Value transparency in all thoughts and actions
* Share at all stages of project through monthly updates
* Dissemination in multiple ways and through the use of the project website
* Importance of translation of research for community understanding of research
* Simple yet focused

Equalizing Power

* Value broad/multiple definitions of research
* Recognize importance of dialogue on research (What is good science, all perspectives included)
* Honor what others bring to the table
* Recognize the limitations that others face, including time, financial resources, infrastructure, and politics
* Reciprocity: honor what we are given and make sure we give back
* Recognize privilege in all forms: economic/ethnicity/education/etc.
* Have collective and cultural humility
* Counter the corporate model (university)
* Help develop new language-innovative, equitable, transdisciplinary science
* Honor autonomy, self-determination, social justice
* Recognize that all research is culturally bound
* Listen to understand, not just to react
* Speak in ways people understand and ask for clarification if needed
* Acknowledge history and culture of self and others
* Share with outsiders the history and culture of the Yakama people
* Ensure community participation at each step of the research process such as frequent community presentation
* Active, free, and informed consent must be obtained from all participants

Ensure Utility of Research for our Community

* Ensure meaningful impact: relevant benefit to our community
* Build capacity though the recruitment, training, and inclusion of our community members in all phases of the research process
* Continuously reflect back on what we are doing: does it add value/protect culture?
* Recognize centrality of reflection and learning
* Be good stewards of information
* Reports and summaries must be returned in a language and format that is comprehensible to our community
* Research results must be presented to the participating partnerships before being disseminated in the public domain
* Focus group, Survey respondents and intervention participates from community-academic partnerships constitute individual respondents, not research partners. While research results will be shared with them, the aggregate survey results do not represent and are not the property of any specific participant. The *Study Name* research team will consult with the Tribal Nation, The YRWC coalition and participating respondents about the analyses and research products that would be most useful.
* Research must respect the rights and dignity of our community and the people involved in the research

Support Research Partners

* Build capacity at research institutions also: promote promising/best practices
* Respect constraints that universities put on researchers: create mutual support though open discussion on the requirements the university has on the academic partners and incorporate the support into the partnership process
* Respect the constraints of the grantors: timelines, progress reports, expectations of publication and dissemination for findings, expectation of access to de-identifiable data
* Equal partnership in all aspects of the research. Continuous consultation and collaboration must characterize the partnership
* Ensure that our community has opportunities to participate in all aspects of the research
* Collaborate with community members in the research design, implementation, data collection, data analysis, interpretation, and the dissemination of results
* Ensure that the research is relevant and beneficial to our community and in agreement with the standards of competent research
* Ensure that the research undergoes the appropriate review and approval processes as determined by university IRBs as well as policies and practices of our community-academic partnerships
* Develop cultural awareness about our community
* Do no harm to our community
* Promote creation and dissemination of knowledge through written publications and oral presentations. This includes the documentation of the undertaking of the project and of the results
* Enhance community capacity by providing community members ongoing opportunities for active participation in the research
* Train and mentor students and junior colleagues, with preference to American Indian/Alaska Native students
* Respond to community requests for information after the research project ends

**Code of Ethics and Integrity Acknowledgment**

I acknowledge that I have received and reviewed the *Study Name* *Code of Ethics and Integrity*.

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Signature Role in Project

Please complete & sign the publication guidelines acknowledgement form and send to *Name contact information* or an electronic attachment to email address. Mail the original form to Indigenous Wellness Research Institute, School of Social Work, University of Washington, Box 354900, Seattle, WA 98105-6299